

## CSR AND MICROBUNCHING IN BUNCH COMPRESSORS

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## Outline

### 1 CSR simulations in bunch compressors (BCs)

- Comparison CSR codes in the FERMI@Elettra BC

### 2 Microbunching due to density modulations

- Microbunching instability simulations in the FERMI@Elettra BC

### 3 Microbunching due to energy modulations

- Energy-to-density Conversion Formula

## VM3@A (Vlasov Maxwell Monte-carlo Method at Albuquerque)

- Self-consistent 2D Vlasov-Maxwell solver for accurate modeling of CSR effects and microbunching instability in bunch compressors
- Monte-carlo particle method implemented to solve the Vlasov-Maxwell system with a 2D (z-x) mean field approximation
- Equations of motion solved in the interaction picture defined by the principal solution matrix of the linearized equations of motion without self fields
- Field calculation based on a Green's function method with integration over 2D "history" of the charge/current density
- Vacuum chamber modeled with perfect conducting parallel plates
- 1D field approximation scheme for rapid simulations
- References

G. Bassi, J.A. Ellison, K. Heinemann and R. Warnock, PRSTAB **12**, 080704 (2009)

G. Bassi, J.A. Ellison, K. Heinemann and R. Warnock, PRSTAB **13**, 104403 (2010)

B. Terzic and G. Bassi, PRSTAB **14**, 070701 (2011))

## FERMI@Elettra BC1: chicane and beam parameters at first dipole

Parameter	Symbol	Value	Unit
Energy reference particle	$E_r$	285	MeV
Peak current	I	55	A
Bunch charge	Q	0.5	nC
Norm. transverse emittance	$\gamma\epsilon_0$	1.5	$\mu\text{m}$
Alpha function	$\alpha_0$	3.5	
Beta function	$\beta_0$	40	m
Linear energy chirp	h	21.54	1/m
Uncorrelated energy spread	$\sigma_E$	10	KeV
Momentum compaction	$R_{56}$	-41.8	mm
Magnetic length	$L_b$	0.366	m
Distance 1st-2nd, 3rd-4th bend	$L_1$	2.6468	m
Distance 2rd-3nd bend	$L_2$	1.1833	m

## Comparison (preliminary) VM3@A vs Elegant (Elegant simulations by S. Di Mitri)

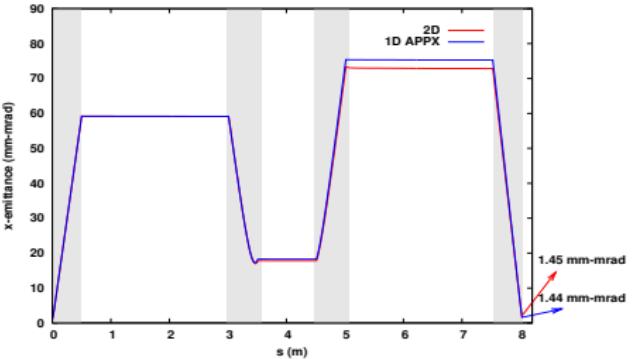
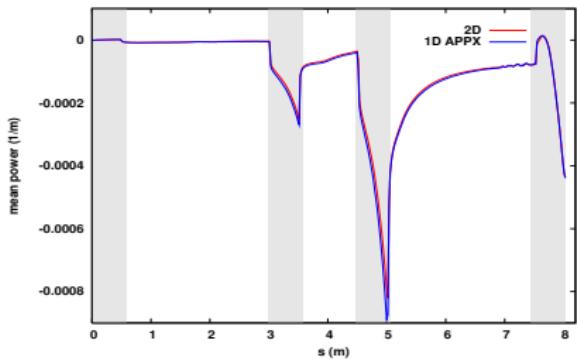
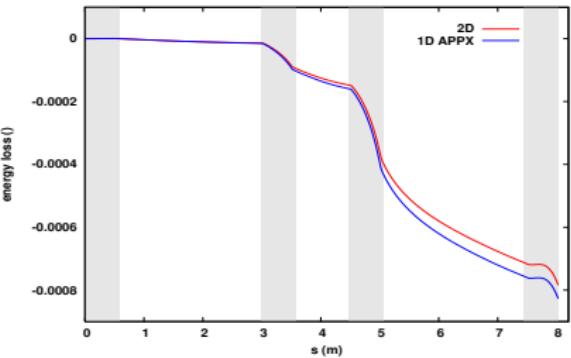
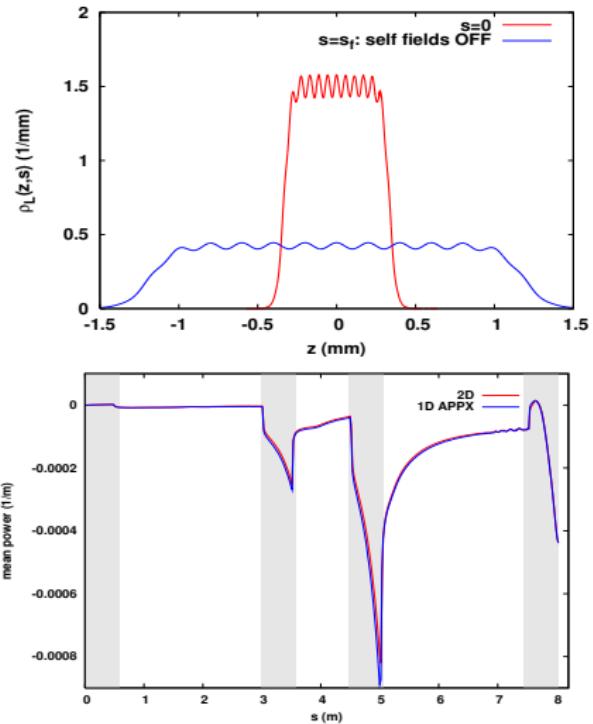
Parameter	Elegant	VM3@A
$\sigma_x$ initial (x-band exit in Elegant), $\mu\text{m}$	291.7	298
$\sigma_x$ final, $\mu\text{m}$	97.3	98
$\sigma_{x'}$ initial, $\mu\text{-mrad}$	29.7	29.7
$\sigma_{x'}$ final, $\mu\text{-mrad}$	31.1	39.8
$\sigma_\delta$ initial	0.018	0.018
$\sigma_\delta$ final	0.018	0.018
$\epsilon_x$ projected and normalized, initial, $\mu\text{-mrad}$	1.459	1.503
$\epsilon_x$ projected and normalized, final, $\mu\text{-mrad}$	1.540	1.529
Bunch length, initial	849	851
Bunch length, final	87	91

# FERMI@Elettra BC: chicane and beam parameters at first dipole

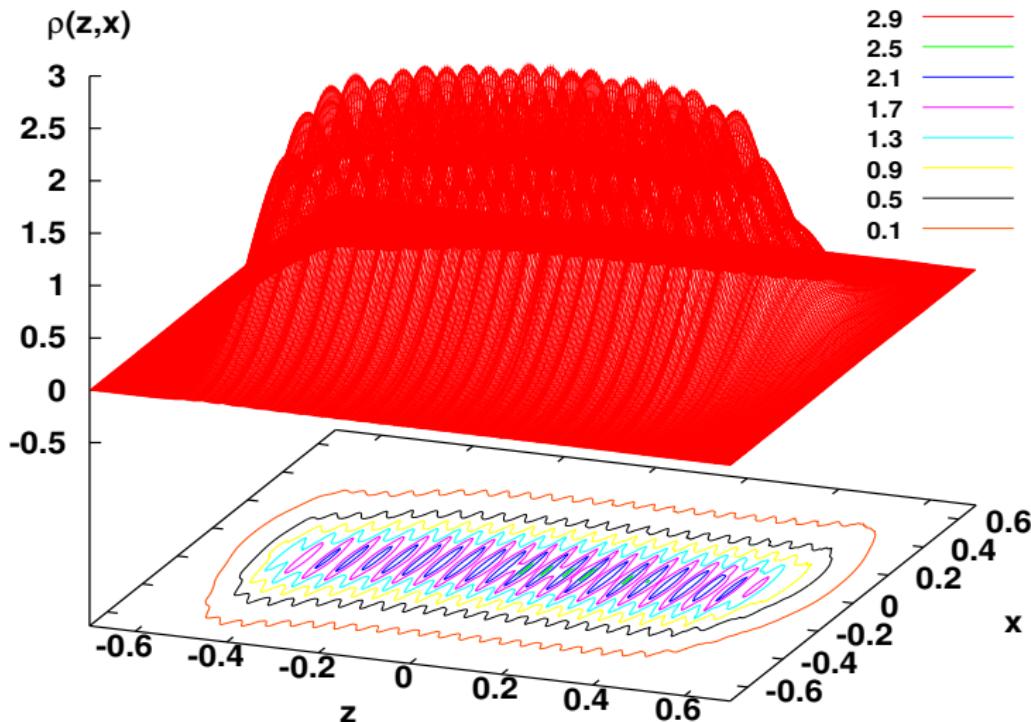
(Benchmark parameters for code comparison (from  $\mu$ BI workshop 2007))

Parameter	Symbol	Value	Unit
Energy reference particle	$E_r$	233	MeV
Peak current	I	120	A
Bunch charge	Q	1	nC
Norm. transverse emittance	$\gamma\epsilon_0$	1	$\mu\text{m}$
Alpha function	$\alpha_0$	0	
Beta function	$\beta_0$	10	m
Linear energy chirp	h	-27.5	1/m
Uncorrelated energy spread	$\sigma_E$	2	KeV
Momentum compaction	$R_{56}$	0.025	m
Radius of curvature	$\rho_0$	5	m
Magnetic length	$L_b$	0.5	m
Distance 1st-2nd, 3rd-4th bend	$L_1$	2.5	m
Distance 2nd-3rd bend	$L_2$	1	m

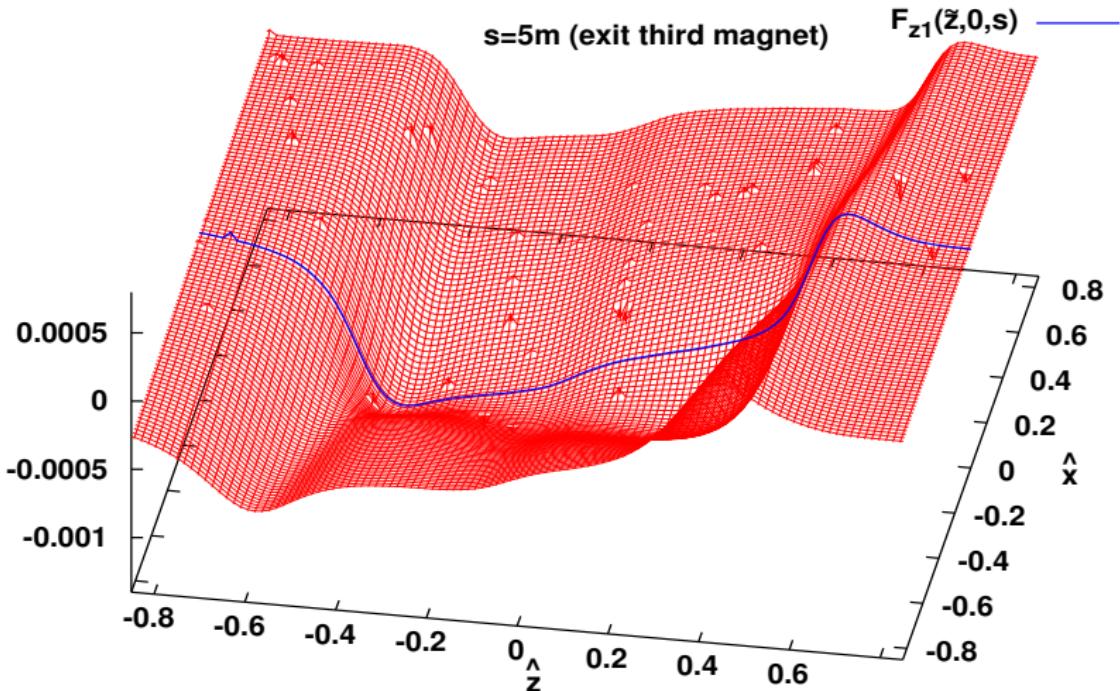
## Microbunching instability simulations in the FERMI@Elettra BC



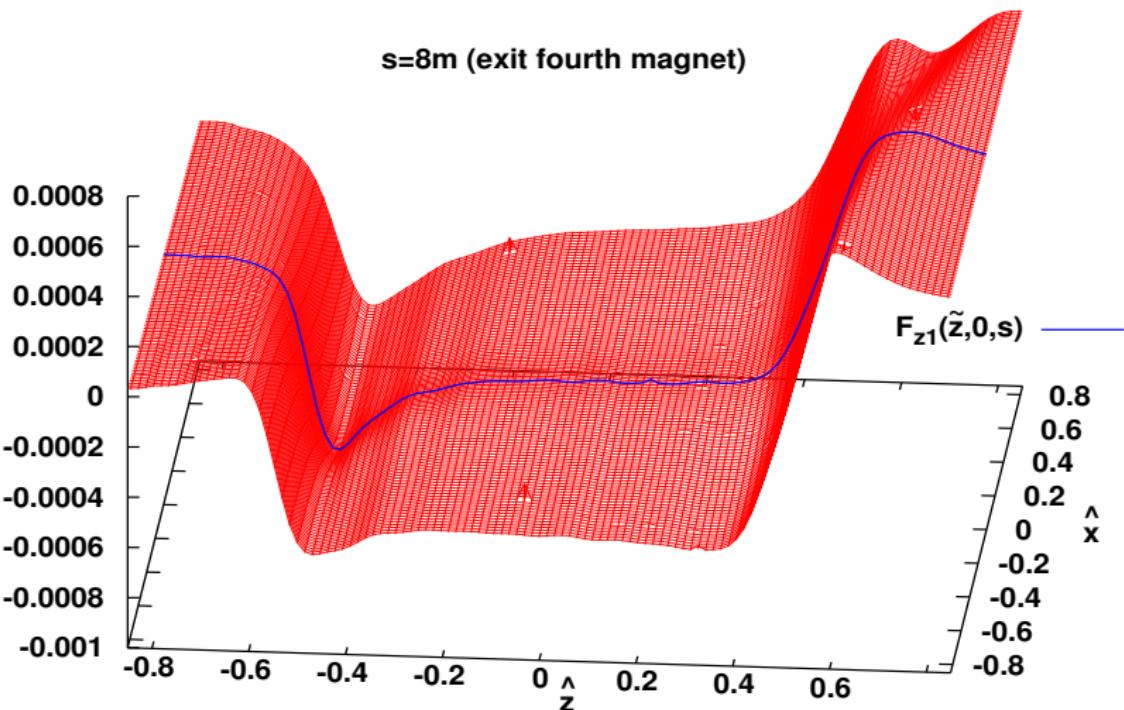
## 2D spatial density at BC exit for $\lambda = 100\mu\text{m}$



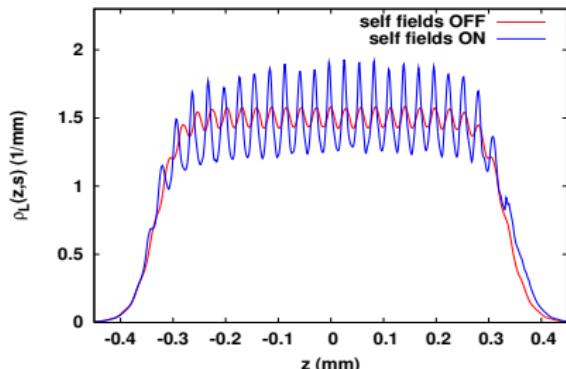
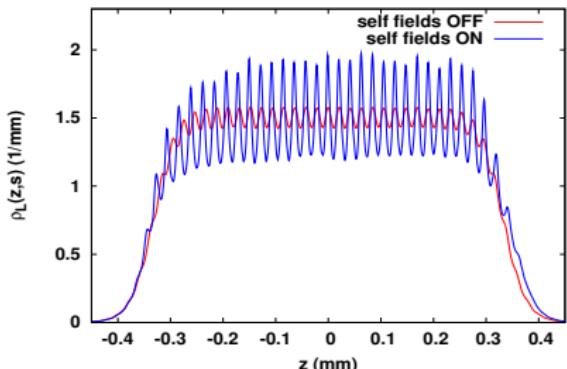
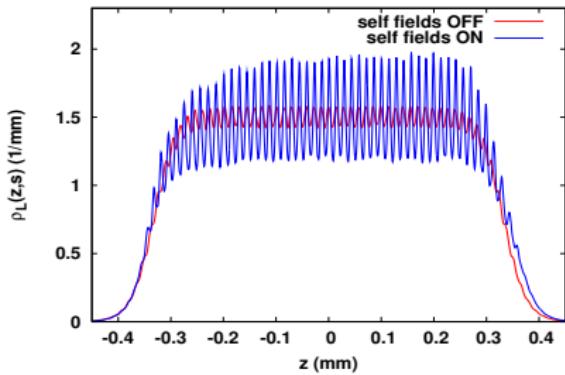
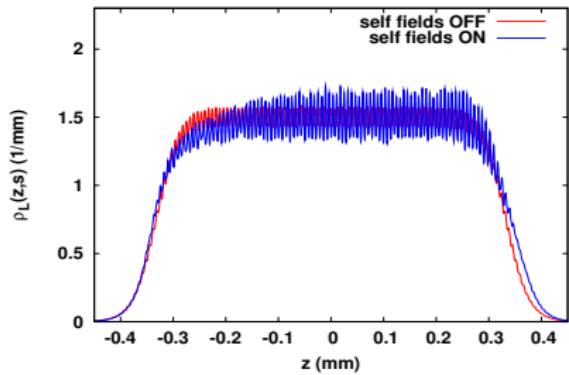
## Comparison 2D vs 1D field calculation



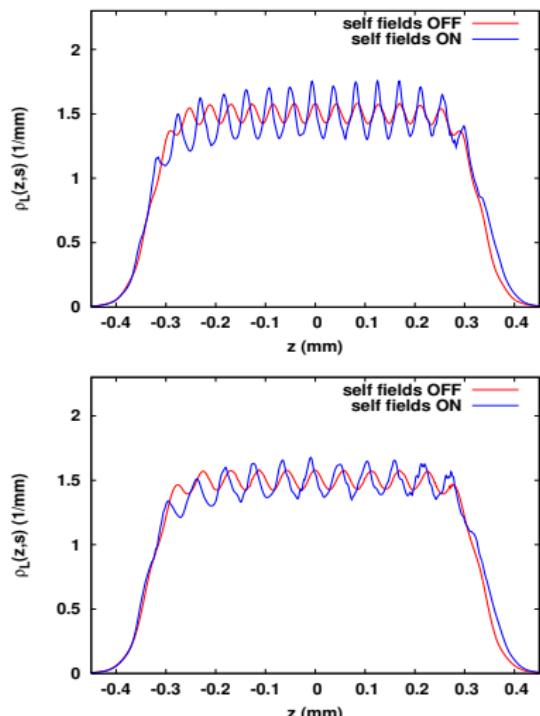
## Comparison 2D vs 1D field calculation



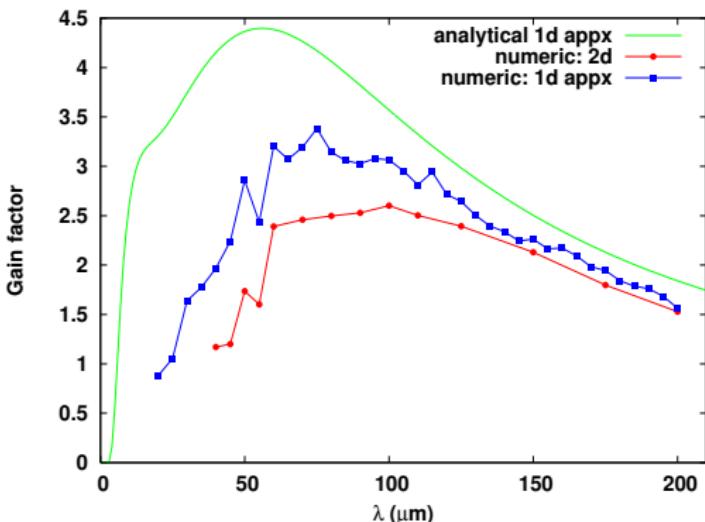
## Longitudinal spatial density at BC exit for $\lambda = 25, 50, 75, 100 \mu\text{m}$



## Comparison gain factor



$\lambda = 150\mu\text{m}$  (top),  $\lambda = 200\mu\text{m}$  (bottom)



## Energy-to-Density Modulation Conversion in a Bunch Compressor

(E. Saldin, E. Schneidmiller and M. Yurkov, NIMA 483, 516 (2002))

Let us assume a coasting beam for the longitudinal current density with phase space density (PSD)  $f_0(z, \delta)$  at the entrance of the BC

$$f_0(z, \delta) = \frac{I_0}{\sqrt{2\pi}\sigma_u} \exp\left(-\frac{[\delta - hz + \Delta\delta \sin kz]^2}{2\sigma_u^2}\right),$$

where  $h = (1 - C)/(CR_{56})$  is the linear chirp and  $\Delta\delta$  the amplitude of a sinusoidal energy modulation with wavelength  $\lambda = 2\pi/k$ .

The PSD  $f(z, \delta)$  and current density  $I(z) = \int d\delta f(z, \delta)$  at BC exit read

$$\begin{aligned} f(z, \delta) &= \frac{I_0}{\sqrt{2\pi}\sigma_u} \exp\left(-\frac{[\delta - h(z - R_{56}\delta)] + \Delta\delta \sin k(z - R_{56}\delta)]^2}{2\sigma_u^2}\right), \\ I(z) &= CI_0 \left[ 1 + 2 \sum_{n=1}^{\infty} J_n \left( nCkR_{56}\Delta\delta \right) e^{-\frac{1}{2}n^2C^2k^2R_{56}^2\sigma_u^2} \cos(nCkz) \right], \end{aligned} \quad (1)$$

where  $J_n$  is the Bessel function of nth order.

Using the approximation  $A = Ck|R_{56}|\Delta\delta \ll 1$ ,  $J_1(A) \approx A/2$

$$I(z) \approx CI_0 \left[ 1 + CkR_{56}\Delta\delta e^{-\frac{1}{2}C^2k^2R_{56}^2\sigma_u^2} \cos(Ckz) \right]. \quad (2)$$

## Gain Factor Formula

The approximate Eq.(2) is used to define the gain factor

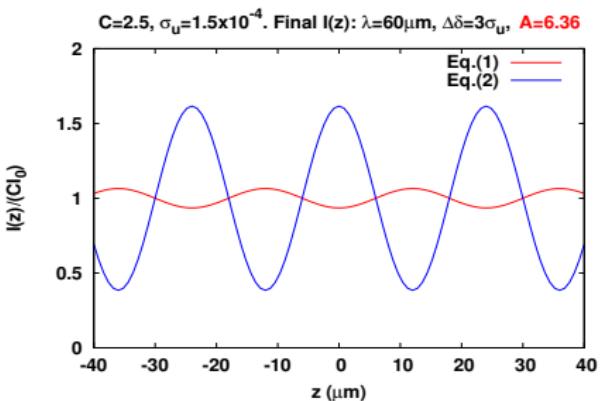
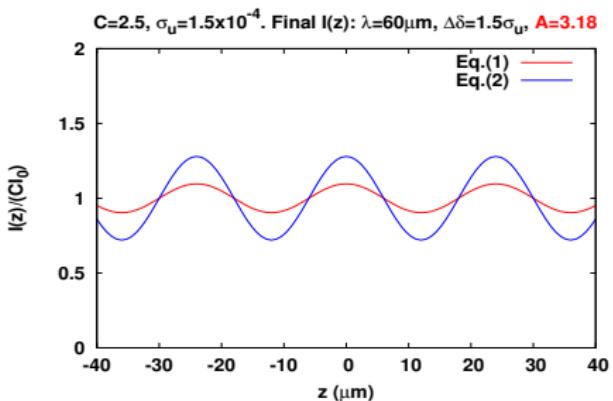
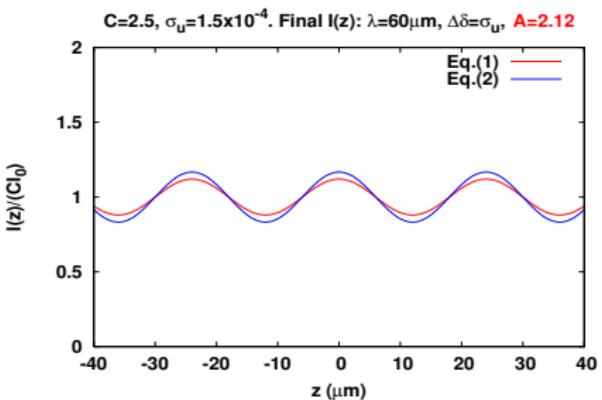
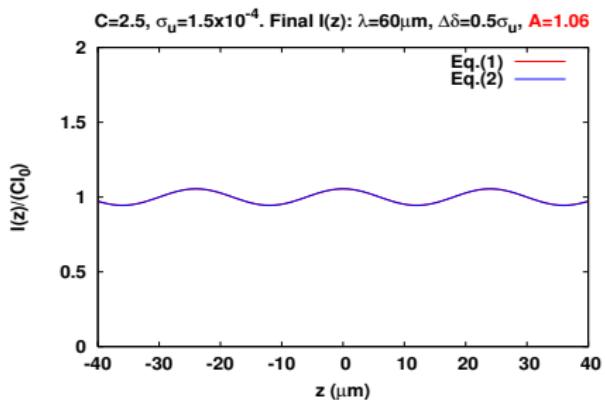
$$G = \frac{\rho_{\text{ind}}}{\rho_i} = Ck|R_{56}| \frac{\Delta\delta}{\rho_i} e^{-\frac{1}{2}C^2 k^2 R_{56}^2 \sigma_u^2}, \quad (3)$$

for an initial current modulation with amplitude  $\rho_i$  and wave number  $k = 2\pi/\lambda$ .

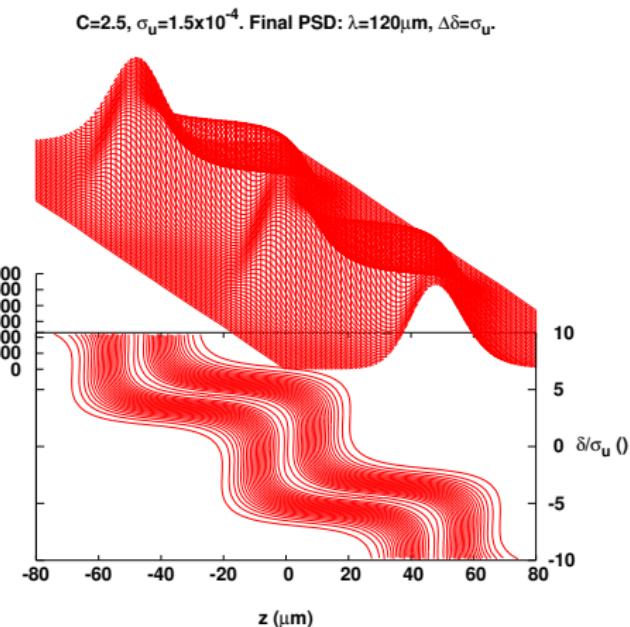
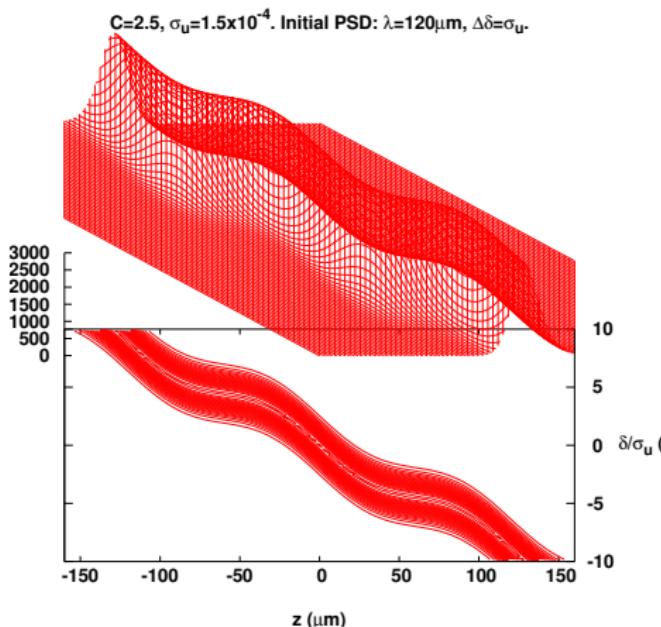
Eq.(3) holds for a sinusoidal energy modulation  $\sin k_z$  with amplitude  $\Delta\delta$  and a coasting beam assumption at the entrance of the BC, in the limit  $\rho_i \ll \rho_{\text{ind}} \ll 1$ .

Eq.(3) has been used by Seletskiy et al. (PRL 111, 034803 (2013)) outside its range of validity (violating the condition  $A \ll 1$ ), nevertheless obtaining a good fit with the experimental gain factor. See talk of Xi Yang on Tuesday.

## Longitudinal Current Density at BC Exit for $\lambda = 60\mu\text{m}$

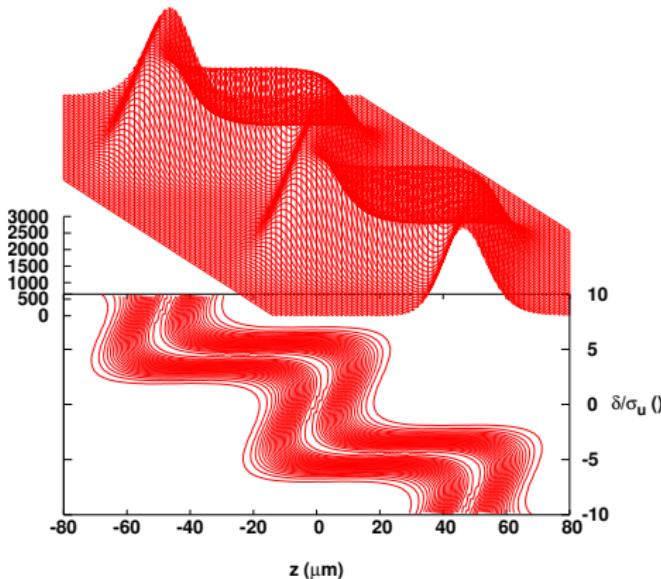


## Longitudinal Phase Space Density for $\lambda = 120\mu\text{m}$

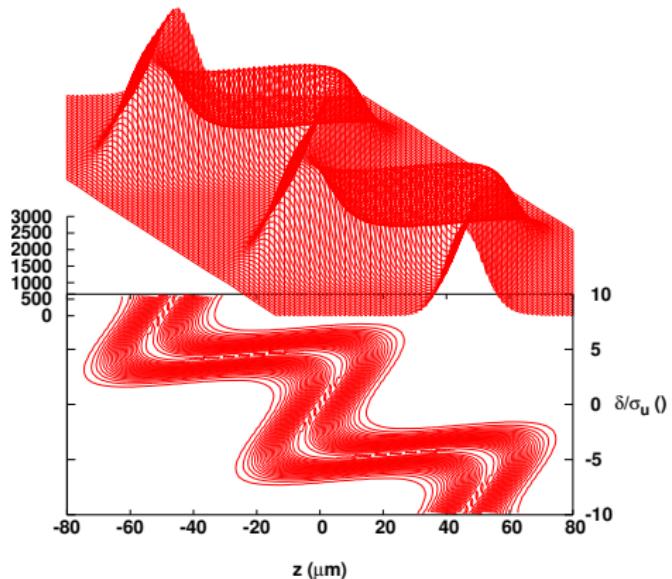


## Longitudinal Phase Space Density at BC Exit for $\lambda = 120\mu\text{m}$

$C=2.5$ ,  $\sigma_u=1.5 \times 10^{-4}$ . Final PSD:  $\lambda=120\mu\text{m}$ ,  $\Delta\delta=1.5\sigma_u$ .



$C=2.5$ ,  $\sigma_u=1.5 \times 10^{-4}$ . Final PSD:  $\lambda=120\mu\text{m}$ ,  $\Delta\delta=2\sigma_u$ .



## Proof of the Energy-to-Density Modulation Conversion Formula

$$\begin{aligned} I(z) &= \frac{I_0}{\sqrt{2\pi}\sigma_u} \int_{-\infty}^{\infty} d\delta \exp\left(-\frac{[\delta - h(z - R_{56}\delta) + \Delta\delta \sin k(z - R_{56}\delta)]^2}{2\sigma_u^2}\right), \\ &= A_0 \int_{-\infty}^{+\infty} dx \exp\left(-\frac{[x + \Delta\delta \sin(Ckz - CkR_{56}x)]^2}{2\sigma_u^2}\right), \end{aligned} \quad (4)$$

where  $A_0 = CI_0/(\sqrt{2\pi}\sigma_u)$  and we made the change of variable  $x = \delta - h(z - R_{56}\delta)$ . Since  $I(z)$  is even in  $z^1$  and periodic with period  $T = 2\pi/(Ck)$ , we expand it in cosine Fourier series

$$\begin{aligned} I(z) &= I(-z), \quad I(z) = I\left(z + \frac{2\pi}{Ck}\right) \implies I(z) = \frac{a_0}{2} + \sum_{n=1}^{\infty} a_n \cos(nCkz) \\ a_n &= \frac{Ck}{\pi} \int_{-\frac{\pi}{Ck}}^{\frac{\pi}{Ck}} dz I(z) \cos(nCkz). \end{aligned} \quad (5)$$

Thus, with  $B = CkR_{56}$  we have

$$a_n = \frac{A_0 Ck}{\pi} \int_{-\frac{\pi}{Ck}}^{\frac{\pi}{Ck}} dz \int_{-\infty}^{+\infty} dx \exp\left(-\frac{[x + \Delta\delta \sin(Ckz - Bx)]^2}{2\sigma_u^2}\right) \cos(nCkz). \quad (6)$$

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<sup>1</sup> $I(-z) = A_0 \int_{-\infty}^{+\infty} dx \exp[-(x - \Delta\delta \sin(kCz + Bx))^2/(2\sigma_u^2)] \stackrel{x'=-x}{=} -A_0 \int_{+\infty}^{-\infty} dx' \exp[-(-x' - \Delta\delta \sin(kCz - Bx'))^2/(2\sigma_u^2)] = A_0 \int_{-\infty}^{+\infty} dx' \exp[-(x' + \Delta\delta \sin(kCz - Bx'))^2/(2\sigma_u^2)] = I(z)$ , where  $B = CkR_{56}$ .

## Proof of the Energy-to-Density Modulation Conversion Formula

Changing variable  $y = Ckz - Bx, dz = dy/(Ck)$  we have

$$\begin{aligned} a_n &= \frac{A_0}{\pi} \int_{-\infty}^{+\infty} dx \int_{-\pi-Bx}^{\pi-Bx} dy \exp\left(-\frac{[x + \Delta\delta \sin y]^2}{2\sigma_u^2}\right) \cos n(y + Bx) \\ &= \frac{A_0}{\pi} \int_{-\infty}^{+\infty} dx \int_{\pi}^{\pi} dy \exp\left(-\frac{[x + \Delta\delta \sin y]^2}{2\sigma_u^2}\right) \cos n(y + Bx), \end{aligned} \quad (7)$$

where in the last equality we used the property <sup>2</sup>

$$f(y+T) = f(y) \implies \int_a^{T+a} dy f(y) = \int_0^T dy f(y), \quad a \in \mathbb{R}. \quad (8)$$

With the change of variable  $t = x + \Delta\delta \sin y, dx = dt$  it follows

$$\begin{aligned} a_n &= \frac{A_0}{\pi} \int_{\pi}^{\pi} dy \int_{-\infty}^{+\infty} dt e^{-\frac{t^2}{2\sigma_u^2}} \cos n(y + Bt - B\Delta\delta \sin y) \\ &= \frac{A_0}{\pi} \int_{\pi}^{\pi} \cos n(y - B\Delta\delta \sin y) \int_{-\infty}^{+\infty} dt e^{-\frac{t^2}{2\sigma_u^2}} \cos nBt, \end{aligned} \quad (9)$$

where in the last equality we used  $\int_{-\infty}^{+\infty} dt \exp(-t^2/(2\sigma_u^2)) \sin nBt = 0$ .

<sup>2</sup>Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be continuous and periodic with period  $T \in \mathbb{R}^+$ , then  $\int_a^{a+T} dy f(y) = \int_0^T dy f(y)$  for  $a \in \mathbb{R}$ . To prove it, define  $g(a) := \int_a^{a+T} dy f(y)$ . Then  $g$  is differentiable and  $g'(a) = f(a+T) - f(a) = 0$ , thus  $g$  is constant.

## Proof of the Energy-to-Density Modulation Conversion Formula

For  $n = 0$  it follows that

$$a_0 = 2A_0 \int_{-\infty}^{+\infty} dt e^{-\frac{t^2}{2\sigma_u^2}} = CI_0. \quad (10)$$

For  $n \geq 1$ , from the definition of the Bessel function of nth order

$$J_n(x) = \frac{1}{2\pi} \int_{-\pi}^{+\pi} dy \cos(ny - x \sin y), \quad (11)$$

it follows that

$$\begin{aligned} a_n &= 2A_0 J_n(nB\Delta\delta) \int_{-\infty}^{+\infty} dt e^{-\frac{t^2}{2\sigma_u^2}} \cos nBt = \frac{2A_0}{B} J_n(nB\Delta\delta) \int_{-\infty}^{+\infty} d\tau e^{-\frac{\tau^2}{2(nB\sigma_u)^2}} \\ &= 2CI_0 J_n(nCkR_{56}\Delta\delta) e^{-\frac{1}{2}n^2 C^2 k^2 R_{56}^2 \sigma_u^2}, \end{aligned} \quad (12)$$

where we used the change of variable  $\tau = nBt$  and

$$\int_{-\infty}^{+\infty} dx e^{-ax^2} \cos x = \frac{1}{2} \sqrt{\frac{\pi}{a}} e^{-\frac{1}{4a}}, \quad a > 0. \quad (13)$$

Therefore the final expression for the beam current  $I$  read

$$I(z) = CI_0 \left[ 1 + 2 \sum_{n=1}^{\infty} J_n(nCkR_{56}\Delta\delta) e^{-\frac{1}{2}n^2 C^2 k^2 R_{56}^2 \sigma_u^2} \cos(nCkz) \right]. \quad (14)$$